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jc931 U.S. PTO

jc921 U.S. PTO
09/679698
10/05/00

Practitioner's Docket No. 00-2024

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of
Inventor(s): D. R. Jenkins

WARNING: 37 C.F.R. § 1.41(a)(1) points out:

"(a) A patent is applied for in the name or names of the actual inventor or inventors.

"(1) The inventorship of a nonprovisional application is that inventorship set forth in the oath or declaration as prescribed by § 1.63, except as provided for in § 1.53(d)(4) and § 1.63(d). If an oath or declaration as prescribed by § 1.63 is not filed during the pendency of a nonprovisional application, the inventorship is that inventorship set forth in the application papers filed pursuant to § 1.53(b), unless a petition under this paragraph accompanied by the fee set forth in § 1.17(i) is filed supplying or changing the name or names of the inventor or inventors."

For (title): Body Heating/Cooling Apparatus

CERTIFICATION UNDER 37 C.F.R. § 1.10*
(Express Mail label number is mandatory.)
(Express Mail certification is optional.)

I hereby certify that this New Application Transmittal and the documents referred to as attached therein are being deposited with the United States Postal Service on this date Oct. 5, 2000, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL530223929US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Maria Reichmanis

(type or print name of person mailing paper)



Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. § 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

***WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

1. Type of Application

This new application is for a(n)

(check one applicable item below)

☒ Original (nonprovisional)

☐ Design

☐ Plant

WARNING: Do not use this transmittal for a completion in the U.S. of an International Application under 35 U.S.C. § 371(c)(4), unless the International Application is being filed as a divisional, continuation or continuation-in-part application.

WARNING: Do not use this transmittal for the filing of a provisional application.

NOTE: If one of the following 3 items apply, then complete and attach **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED** and a **NOTIFICATION IN PARENT APPLICATION OF THE FILING OF THIS CONTINUATION APPLICATION**.

☐ Divisional.

☐ Continuation.

☐ Continuation-in-part (C-I-P).

2. Benefit of Prior U.S. Application(s) (35 U.S.C. §§ 119(e), 120, or 121)

NOTE: A nonprovisional application may claim an invention disclosed in one or more prior filed copending nonprovisional applications or copending international applications designating the United States of America. In order for a nonprovisional application to claim the benefit of a prior filed copending nonprovisional application or copending international application designating the United States of America, each prior application must name as an inventor at least one inventor named in the later filed nonprovisional application and disclose the named inventor's invention claimed in at least one claim of the later filed nonprovisional application in the manner provided by the first paragraph of 35 U.S.C. § 112. Each prior application must also be:

(i) An international application entitled to a filing date in accordance with PCT Article 11 and designating the United States of America; or

(ii) Complete as set forth in § 1.51(b); or

(iii) Entitled to a filing date as set forth in § 1.53(b) or § 1.53(d) and include the basic filing fee set forth in § 1.16; or

(iv) Entitled to a filing date as set forth in § 1.53(b) and have paid therein the processing and retention fee set forth in § 1.21(f) within the time period set forth in § 1.53(f).

37 C.F.R. § 1.78(a)(1).

NOTE: If the new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is an International Application which designated the U.S., or benefit of a prior provisional application is claimed, then check the following item and complete and attach **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**.

WARNING: If an application claims the benefit of the filing date of an earlier filed application under 35 U.S.C. §§ 120, 121 or 365(c), the 20-year term of that application will be based upon the filing date of the earliest U.S. application that the application makes reference to under 35 U.S.C. §§ 120, 121 or 365(c). (35 U.S.C. § 154(a)(2) does not take into account, for the determination of the patent term, any application on which priority is claimed under 35 U.S.C. §§ 119, 365(a) or 365(b).) For a c-i-p application, applicant should review whether any claim in the patent that will issue is supported by an earlier application and, if not, the applicant should consider canceling the reference to the earlier filed application. The term of a patent is not based on a claim-by-claim approach. See Notice of April 14, 1995, 60 Fed. Reg. 20,195, at 20,205.

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WARNING: When the last day of pendency of a provisional application falls on a Saturday, Sunday, or Federal holiday within the District of Columbia, any nonprovisional application claiming benefit of the provisional application must be filed prior to the Saturday, Sunday, or Federal holiday within the District of Columbia. See 37 C.F.R. § 1.78(a)(3).

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. Papers Enclosed

A. Required for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application

22 Pages of specification

6 Pages of claims

9 Sheets of drawing

WARNING: DO NOT submit original drawings. A high quality copy of the drawings should be supplied when filing a patent application. The drawings that are submitted to the Office must be on strong, white, smooth, and non-shiny paper and meet the standards according to § 1.84. If corrections to the drawings are necessary, they should be made to the original drawing and a high-quality copy of the corrected original drawing then submitted to the Office. Only one copy is required or desired. For comments on proposed then-new 37 C.F.R. § 1.84, see Notice of March 9, 1988 (1990 O.G. 57-62).

NOTE: "Identifying indicia, if provided, should include the application number or the title of the invention, inventor's name, docket number (if any), and the name and telephone number of a person to call if the Office is unable to match the drawings to the proper application. This information should be placed on the back of each sheet of drawing a minimum distance of 1.5 cm. (5/8 inch) down from the top of the page . . ." 37 C.F.R. § 1.84(c)).

(complete the following, if applicable)

- ☐ The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)." 37 C.F.R. § 1.84(b).
- ☐ formal
- ☐ informal

B. Other Papers Enclosed

7 Pages of declaration and power of attorney

1 Pages of abstract

 Other

4. Additional papers enclosed

- ☐ Amendment to claims
- ☐ Cancel in this applications claims _____ before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ Add the claims shown on the attached amendment. (Claims added have been numbered consecutively following the highest numbered original claims.)
- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement (37 C.F.R. § 1.98)
- ☐ Form PTO-1449 (PTO/SB/08A and 08B)
- ☐ Citations

- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☒ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☐ Other

5. Declaration or oath (including power of attorney)

NOTE: A newly executed declaration is not required in a continuation or divisional application provided that the prior nonprovisional application contained a declaration as required, the application being filed is by all or fewer than all the inventors named in the prior application, there is no new matter in the application being filed, and a copy of the executed declaration filed in the prior application (showing the signature or an indication thereon that it was signed) is submitted. The copy must be accompanied by a statement requesting deletion of the names of person(s) who are not inventors of the application being filed. If the declaration in the prior application was filed under § 1.47, then a copy of that declaration must be filed accompanied by a copy of the decision granting § 1.47 status or, if a nonsigning person under § 1.47 has subsequently joined in a prior application, then a copy of the subsequently executed declaration must be filed. See 37 C.F.R. §§ 1.63(d)(1)-(3).

NOTE: A declaration filed to complete an application must be executed, identify the specification to which it is directed, identify each inventor by full name including family name and at least one given name, without abbreviation together with any other given name or initial, and the residence, post office address and country or citizenship of each inventor, and state whether the inventor is a sole or joint inventor. 37 C.F.R. § 1.63(a)(1)-(4).

☒ Enclosed

Executed by

(check all applicable boxes)

- ☒ inventor(s).
- ☐ legal representative of inventor(s).
37 C.F.R. §§ 1.42 or 1.43.
- ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
- ☐ This is the petition required by 37 C.F.R. § 1.47 and the statement required by 37 C.F.R. § 1.47 is also attached. See item 13 below for fee.

☐ Not Enclosed.

NOTE: Where the filing is a completion in the U.S. of an International Application or where the completion of the U.S. application contains subject matter in addition to the International Application, the application may be treated as a continuation or continuation-in-part, as the case may be, utilizing ADDED PAGE FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION CLAIMED.

- ☐ Application is made by a person authorized under 37 C.F.R. § 1.41(c) on behalf of all the above named inventor(s).

(The declaration or oath, along with the surcharge required by 37 C.F.R. § 1.16(e) can be filed subsequently).

- ☐ Showing that the filing is authorized.
(not required unless called into question. 37 C.F.R. § 1.41(d))

005007 86962560

6. Inventorship Statement

WARNING: If the named inventors are each not the inventors of all the claims an explanation, including the ownership of the various claims at the time the last claimed invention was made, should be submitted.

The inventorship for all the claims in this application are:

☒ The same.

or

- ☐ Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
- ☐ is submitted.
 - ☐ will be submitted.

7. Language

NOTE: An application including a signed oath or declaration may be filed in a language other than English. An English translation of the non-English language application and the processing fee of \$130.00 required by 37 C.F.R. § 1.17(k) is required to be filed with the application, or within such time as may be set by the Office. 37 C.F.R. § 1.52(d).

- ☒ English
- ☐ Non-English
- ☐ The attached translation includes a statement that the translation is accurate. 37 C.F.R. § 1.52(d).

8. Assignment

- ☐ An assignment of the invention to _____
- _____
- ☐ is attached. A separate ☐
- _____ is also attached.
- ☐ will follow.

NOTE: "If an assignment is submitted with a new application, send two separate letters—one for the application and one for the assignment." Notice of May 4, 1990 (1114 O.G. 77-78).

WARNING: A newly executed "CERTIFICATE UNDER 37 C.F.R. § 3.73(b)" must be filed when a continuation-in-part application is filed by an assignee. Notice of April 30, 1993, 1150 O.G. 62-64.

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9. Certified Copy

Certified copy(ies) of application(s)

Country	Appln. No.	Filed
Country	Appln. No.	Filed
Country	Appln. No.	Filed

from which priority is claimed

☐ is (are) attached.

☐ will follow.

NOTE: The foreign application forming the basis for the claim for priority must be referred to in the oath or declaration. 37 C.F.R. § 1.55(a) and 1.63.

NOTE: This item is for any foreign priority for which the application being filed directly relates. If any parent U.S. application or International Application from which this application claims benefit under 35 U.S.C. § 120 is itself entitled to priority from a prior foreign application, then complete item 18 on the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

10. Fee Calculation (37 C.F.R. § 1.16)

A. ☒ Regular application

CLAIMS AS FILED			
Number filed	Number Extra	Rate	Basic Fee 37 C.F.R. 1.16(a) \$710.00
Total Claims (37 C.F.R. § 1.16(c))	- 20 =	×	\$ 18.00
Independent Claims (37 C.F.R. § 1.16(b))	- 3 =	×	\$ 78.00
Multiple dependent claim(s), if any (37 C.F.R. § 1.16(d))		+	\$260.00

☐ Amendment cancelling extra claims is enclosed.

☐ Amendment deleting multiple-dependencies is enclosed.

☐ Fee for extra claims is not being paid at this time.

NOTE: If the fees for extra claims are not paid on filing they must be paid or the claims cancelled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 C.F.R. § 1.16(d).

Filing Fee Calculation

\$ 355.00

B. ☐ Design application

(\$310.00—37 C.F.R. § 1.16(f))

Filing Fee Calculation

\$

C. ☐ Plant application

(\$480.00—37 C.F.R. § 1.16(g))

Filing fee calculation

\$

11. Small Entity Statement(s)

- ☒ Statement(s) that this is a filing by a small entity under 37 C.F.R. § 1.9 and 1.27 is (are) attached.

WARNING: "Status as a small entity must be specifically established in each application or patent in which the status is available and desired. Status as a small entity in one application or patent does not affect any other application or patent, including applications or patents which are directly or indirectly dependent upon the application or patent in which the status has been established. The refiling of an application under § 1.53 as a continuation, division, or continuation-in-part (including a continued prosecution application under § 1.53(d)), or the filing of a reissue application requires a new determination as to continued entitlement to small entity status for the continuing or reissue application. A nonprovisional application claiming benefit under 35 U.S.C. § 119(e), 120, 121, or 365(c) of a prior application, or a reissue application may rely on a statement filed in the prior application or in the patent if the nonprovisional application or the reissue application includes a reference to the statement in the prior application or in the patent or includes a copy of the statement in the prior application or in the patent and status as a small entity is still proper and desired. The payment of the small entity basic statutory filing fee will be treated as such a reference for purposes of this section." 37 C.F.R. § 1.28(a)(2).

WARNING: "Small entity status must not be established when the person or persons signing the . . . statement can unequivocally make the required self-certification." M.P.E.P., § 509.03, 6th ed., rev. 2, July 1996 (emphasis added).

(complete the following, if applicable)

- ☐ Status as a small entity was claimed in prior application _____ / _____, filed on _____, from which benefit is being claimed for this application under:

35 U.S.C. § ☐ 119(e),
☐ 120,
☐ 121,
☐ 365(c),

and which status as a small entity is still proper and desired.

- ☐ A copy of the statement in the prior application is included.

Filing Fee Calculation (50% of A, B or C above)

\$ 355.00

NOTE: Any excess of the full fee paid will be refunded if small entity status is established and a refund request are filed within 2 months of the date of timely payment of a full fee. The two-month period is not extendable under § 1.136. 37 C.F.R. § 1.28(a).

12. Request for International-Type Search (37 C.F.R. § 1.104(d))

(complete, if applicable)

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

13. Fee Payment Being Made at This Time

- ☐ Not Enclosed
- ☐ No filing fee is to be paid at this time.
(This and the surcharge required by 37 C.F.R. § 1.16(e) can be paid subsequently.)
- ☒ Enclosed
- ☒ Filing fee \$ 355.00
- ☐ Recording assignment
(\$40.00; 37 C.F.R. § 1.21(h))
(See attached "COVER SHEET FOR
ASSIGNMENT ACCOMPANYING NEW
APPLICATION".) \$ _____
- ☐ Petition fee for filing by other than all the
inventors or person on behalf of the inventor
where inventor refused to sign or cannot be
reached
(\$130.00; 37 C.F.R. §§ 1.47 and 1.17(i)) \$ _____
- ☐ For processing an application with a
specification in
a non-English language
(\$130.00; 37 C.F.R. §§ 1.52(d) and 1.17(k)) \$ _____
- ☐ Processing and retention fee
(\$130.00; 37 C.F.R. §§ 1.53(d) and 1.21(l)) \$ _____
- ☐ Fee for international-type search report
(\$40.00; 37 C.F.R. § 1.21(e)) \$ _____

NOTE: 37 C.F.R. § 1.21(f) establishes a fee for processing and retaining any application that is abandoned for failing to complete the application pursuant to 37 C.F.R. § 1.53(f) and this, as well as the changes to 37 C.F.R. §§ 1.53 and 1.78(a)(1), indicate that in order to obtain the benefit of a prior U.S. application, either the basic filing fee must be paid, or the processing and retention fee of § 1.21(f) must be paid, within 1 year from notification under § 53(f).

Total fees enclosed \$ 355.00

14. Method of Payment of Fees

- ☒ Check in the amount of \$ 355.00
- ☐ Charge Account No. _____ in the amount of \$ _____

A duplicate of this transmittal is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 C.F.R. § 1.22(b).

15. Authorization to Charge Additional Fees

WARNING: If no fees are to be paid on filing, the following items should not be completed.

WARNING: Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges, if extra claim charges are authorized.

- ☐ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. _____:

- ☐ 37 C.F.R. § 1.16(a), (f) or (g) (filing fees)
☐ 37 C.F.R. § 1.16(b), (c) and (d) (presentation of extra claims)

NOTE: Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency (37 C.F.R. § 1.16(d)), it might be best not to authorize the PTO to charge additional claim fees, except possibly when dealing with amendments after final action.

- ☐ 37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
☐ 37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a)).
☐ 37 C.F.R. § 1.17 (application processing fees)

NOTE: ". . . A written request may be submitted in an application that is an authorization to treat any concurrent or future reply, requiring a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. An authorization to charge all required fees, fees under § 1.17, or all required extension of time fees will be treated as a constructive petition for an extension of time in any concurrent or future reply requiring a petition for an extension of time under this paragraph for its timely submission. Submission of the fee set forth in § 1.17(a) will also be treated as a constructive petition for an extension of time in any concurrent reply requiring a petition for an extension of time under this paragraph for its timely submission." 37 C.F.R. § 1.136(a)(3).

- ☐ 37 C.F.R. § 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b))

NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time of mailing the notice of allowance. 37 C.F.R. § 1.311(b).

NOTE: 37 C.F.R. § 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application . . . prior to paying, or at the time of paying, . . . the issue fee. . . ." From the wording of 37 C.F.R. § 1.28(b), (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity.

16. Instructions as to Overpayment

NOTE: "... Amounts of twenty-five dollars or less will not be returned unless specifically requested within a reasonable time, nor will the payer be notified of such amounts; amounts over twenty-five dollars may be returned by check or, if requested, by credit to a deposit account." 37 C.F.R. § 1.26(a).

- ☐ Credit Account No. _____
☒ Refund

Reg. No. 37,220

Tel. No. (803) 641-1900

Customer No.


SIGNATURE OF PRACTITIONER

Maria Reichmanis

(type or print name of attorney)

P.O. Box 3306

P.O. Address

Aiken, SC 29802

☐ **Incorporation by reference of added pages**

(check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED)

- ☐ Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed

Number of pages added _____

- ☐ Plus Added Pages for Papers Referred to in Item 4 Above

Number of pages added _____

- ☐ Plus added pages deleting names of inventor(s) named in prior application(s) who is/are no longer inventor(s) of the subject matter claimed in this application.

Number of pages added _____

- ☐ Plus "Assignment Cover Letter Accompanying New Application"

Number of pages added _____

☒ **Statement Where No Further Pages Added**

(if no further pages form a part of this Transmittal, then end this Transmittal with this page and check the following item)

- ☒ This transmittal ends with this page.

Attorney's Docket No. 00-2024

PATENT

☒ Applicant D. R. Jenkins ☐ Patentee _____
☒ Application No. Unknown ☐ Patent No. _____
☒ Filed on Herewith ☐ Issued on _____
Title: Body Heating/Cooling Apparatus

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(b))—INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor, as defined in 37 CFR 1.9(c), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office, with regard to the invention described in

- ☒ the specification filed herewith, with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person had made the invention, or to any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
☐ Each such person, concern or organization is listed below. *

**NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)*

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Donny Ray Jenkins

Name of inventor

Signature of Inventor

Date

Name of inventor

Signature of Inventor

Date

Name of inventor

Signature of Inventor

Date

PATENT

BODY HEATING/COOLING APPARATUS

5

BACKGROUND OF THE INVENTION

1. Field of the Invention:

The present invention generally pertains to apparatus for external heating or
10 cooling of the body. In particular, the present invention pertains to a body
heating/cooling apparatus with a vest that covers all or most of the user's torso so as
to protect the major internal organs of the body from extreme ambient temperatures.
The invention also pertains to a body heating/cooling apparatus with a base unit that
can service a plurality of vests to accommodate multiple users.

15

2. Discussion of Background:

During racing competitions held in warmer climates or during the summer
months the temperatures inside the racing vehicles can become very high. The heat
from the engine, the other cars, and the racetrack surface has a cumulative effect on
20 the temperature inside the vehicle and may expose the driver to extreme temperatures
during the course of a race.

There are many physical problems that may result from prolonged exposure
to heat including heat exhaustion, heat stroke, and dehydration. For most racing
applications there are existing devices for cooling the driver's helmet during the race.
25 There have also been attempts at providing articles of clothing for cooling the body
of the driver during the race. The previous methods for cooling the body under race

conditions have not been accepted for several reasons including the complexity of the systems and the discomfort associated with use of the systems particularly over an extended period of timer

When adding a cooling system to a car designed for competition, the most
5 important factors are weight and electrical power requirements. Both of these factors can reduce the horsepower output from the engine. Accordingly, the decision to add weight or to increase the electrical load must be considered carefully. Extra weight slows down the vehicle, and extra consumption of power requires more of the horsepower from the engine to be used for electrical power requirements

10 In U.S. Patent No. 5,967,225 entitled "Body Heating/Cooling Apparatus," I describe a battery-operated body heating/cooling apparatus comprising an enclosure for raising or lowering the temperature of a fluid prior to circulating the fluid through a vest. The enclosure has a plurality of compartments for holding ice and water, and may also be equipped with a module for also providing helmet cooling for racing car
15 applications (or other applications where supplemental cooling of the user's head is desired). In operation, the appropriate compartments are filled with ice and water, and the apparatus is mounted inside a vehicle such as a racecar. Electrical connection to the automobile battery is made with quick-connect lugs, and the driver can turn the apparatus on and off via a manual switch. While this apparatus is lightweight, safe,
20 requires a minimum of electrical current, and is capable of reliably and efficiently cooling a vest (and optionally a helmet), the enclosure can only service one vest at a time. Once the vest is disconnected from the enclosure, its useful operating time is limited to approximately 10–15 minutes.

There is a need for a portable, lightweight, efficient, cost-effective body
25 heating/cooling system that can service one vest or a plurality of vests as may be needed, and that provides an extended operating time for the user.

SUMMARY OF THE INVENTION

Generally described, the present invention provides a cooling apparatus for
5 cooling the body which operates by circulating a cooling fluid through a vest worn by
the user. Alternatively, the apparatus circulates a suitable warming fluid through the
vest so as to warm the user's body.

In one preferred embodiment, the present invention provides an enclosure
having a first chamber disposed inside the enclosure and containing a fluid (the terms
10 "fluid" and "liquid" are used interchangeably in this specification). The fluid is
circulated through the system by a pump disposed inside the first chamber. The
pump has an intake port and an outlet for conveying the fluid through tubing. A
second chamber is disposed inside the enclosure and contains a cooling medium. The
tubing extends from the outlet of the pump and carries the fluid from the pump
15 through the second chamber such that the fluid loses heat while passing through the
second chamber. The temperature in the second chamber is much cooler than the
initial temperature of the fluid, and the result is cooling of the fluid as it passes
through the tubing inside the second chamber.

After the fluid passes through the tubing in the second chamber, the fluid
20 enters a cooling vest that is worn by the user (racecar driver, outdoor worker, etc.).
The vest has an inlet and an outlet and a cavity disposed therebetween. The inlet of
the vest is connected to the first tube such that fluid is capable of flowing from the
inlet to the outlet through the cavity. The flow of the cool fluid through the vest
worn by the driver has a cooling effect which reduces the effect of the heat
25 encountered during the race. A return tube extends from the outlet of the cooling vest
back to the first chamber in the enclosure such that the fluid returns to the first

chamber after passing through the cooling vest. Once the fluid is back in the first chamber it goes into the pump and recirculates through the system.

In an alternate embodiment the apparatus described above is combined with an apparatus for providing cool air to the helmet of the driver. The additional
5 apparatus requires four additional chambers inside the enclosure. A third chamber (the first and second chamber are part of the apparatus described above) has a cooling medium and an inlet and an outlet. A blower connects to the inlet of the third chamber and forces air through the chamber. A fourth chamber is disposed inside the enclosure adjacent to the third chamber and has a cooling medium inside. A filter is
10 positioned between the between the third chamber and the fourth chamber to remove impurities from the incoming air. A fifth chamber is disposed adjacent to the fourth chamber and has a pressure equalization tube extending from the fourth chamber to the fifth chamber. The air from the blower passes through the third chamber into the fourth chamber. The fourth chamber is connected to a fifth chamber by an opening
15 positioned in a divider between the chambers. The opening is equipped with a filter.

A sixth chamber is disposed adjacent to the fifth chamber and has an outlet with an opening extending to the outside of the enclosure. A dividing wall having a plurality of apertures is positioned between the fifth and sixth chamber.

In a preferred embodiment of the invention, the vest includes a multilayered
20 composite material which has a fluid-absorbing layer, and may have additional layers including a protective layer, a retaining layer, and a conductive layer, the water-absorbing layer (also termed herein the "filler layer") being intermediate the retainer and conductive layers. The protective layer, if present, has specific characteristics for protection against extreme temperatures, physical impacts and the like, and thus
25 provides additional protection for the user.

An important feature of the present invention is the cooling/heating unit

which may be an enclosure that provides either cooling or heating capability, or both cooling and heating capability depending on the particular selection of unit (hereinafter, the enclosure is referred to as providing cooling/heating or heating/cooling). Liquid circulated through the enclosure is cooled or heated, depending on the desired effect and the ambient temperatures where the apparatus is to be used. The enclosure is preferably battery-powered, either from a self-contained battery, an AC-to-DC converter, or by connecting it to an automobile battery. Alternatively, the cooling/heating unit may take the form of a refrigerator, heater, thermoelectric or Peltier-type unit that cools (or heats) the operating fluid. Under some circumstances, the temperature of the fluid may be sufficiently cooled (or heated) simply by placing the vest inside the unit for a period of time. The unit may be configured for servicing one vest, or a plurality of vests simultaneously and/or sequentially.

Another important feature of the present invention is the vest, which allows the user to conduct his or her chosen activities in relative comfort despite uncomfortable or extreme ambient temperatures. Depending on the selected mode of operation of the enclosure (or other useful heating/cooling unit) and associated equipment, the vest can either provide cooling (for use in hot ambient temperatures) or heating (for use in cold temperatures), for as long as two (2) hours depending on the selection of materials and the ambient temperature. It can be recharged in typically less than a minute, without needing to be taken off by the user. Thus, the user can easily recharge the vest as many times as needed during the day. Alternatively, the user can simply exchange one vest for a freshly-charged vest.

Still another feature of the present invention is the composite material used in the vest. The composite material is preferably a multi-layered, liquid-retaining composite which may include, in sequence, a water-impermeable, breathable coating,

a fluid-absorbing filler layer impregnated with super-absorbent polymer particles, and a retainer layer. The composite material provides added cooling or heating capabilities to the vest, extending the useful duty cycle to as long as two (2) hours.

Yet another feature of the present invention is the selection of the cooling
5 medium and the fluid. The cooling medium may be ice, which is readily available and inexpensive. Similarly, the fluid may be water (preferably distilled water to reduce scale formation and corrosion in the apparatus). In a preferred embodiment of the invention, the fluid consists of a mixture of water and a nontoxic, nonreactive antifreeze such as propylene glycol, which does not freeze during operation of the
10 apparatus and thereby contributes to its efficiency. When used for heating, other useful substances may be substituted.

Other features and advantages of the present invention will be apparent to those skilled in the art from a careful reading of the Detailed Description of Preferred Embodiments presented below and accompanied by the drawings.

15

BRIEF DESCRIPTION OF THE DRAWINGS

The invention is illustrated in the drawings in which like reference characters designate the same or similar parts throughout the Figures of which:

20 FIG. 1 is a cutaway plan view of the heating/cooling enclosure of the present invention;

FIG. 2 is a plan view of a heating/cooling unit of the present invention in the form of an enclosure;

FIG. 3 is a top view of the vest of the present invention;

25 FIG. 4 is a cutaway plan view of an alternate embodiment of the enclosure of the present invention;

FIG. 5 is a cutaway perspective view of an alternate embodiment of the enclosure of the present invention;

FIG. 6 is a plan view of the enclosure of an alternate embodiment of the present invention;

5 FIG. 7 is a top view of a vest of an alternate embodiment of the present invention;

FIG. 8 is a cross-sectional view of a composite material usable with the present invention;

FIG. 9 is a top view of another vest according to the invention; and

10 FIG. 10 is a plan view of another heating/cooling unit usable with the invention.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

15 In the following detailed description of the invention, reference numerals are used to identify structural elements, portions of elements, surfaces or areas in the drawings, as such elements, portions, surfaces or areas may be further described or explained by the entire written specification. For consistency, whenever the same numeral is used in different drawings, it indicates the same element, portion, surface
20 or area as when first used. Unless otherwise indicated, the drawings are intended to be read together with the specification, and are to be considered a portion of the entire written description of this invention as required by 35 U.S.C. § 112. As used herein, the terms "horizontal," "vertical," "left," right," "up," "down," as well as adjectival and adverbial derivatives thereof, refer to the relative orientation of the
25 illustrated structure as the particular drawing Figure faces the reader.

The preferred embodiments of the present invention are described in terms of

a cooling apparatus; however, the invention is not intended to be limited in that way as the apparatus can be readily modified to provide for heating or both heating and cooling.

Referring to FIG. 1, there is shown a preferred embodiment of the apparatus of the present invention wherein a heating/cooling unit takes the form of an enclosure 10 which is preferably formed out of a material with thermal insulating properties. The specific type of material is not critical but it should have certain properties such as insulating ability, durability, and the ability to accept a plastic coating on the outside. Suitable materials include, but are not necessarily limited to, polystyrene resins such as STYRON and STYROFOAM, polyurethane, polyvinyl chloride, closed-cell polystyrene foam, and so forth. The enclosure 10 has an outer wall 11 with sufficient thickness to provide insulation. The inside of enclosure 10 is divided into a first hollow chamber 13 and a second hollow chamber 16, which are bordered by a common dividing wall 19. The dividing wall 19 is preferably made of a rigid plastic or other suitable material, but the seal between the two chambers does not have to be airtight or gas tight. However, the seal should preferably be liquid tight (i.e., substantially impermeable to fluids) at the bottoms of chambers 13, 16 in order to prevent a fluid 22 from passing from the first chamber 13 to the second chamber 16.

A pump 25 takes in the fluid 22 and pumps it into a first tube or inlet port 28. The pump 25 is preferably a submersible bilge-type pump that pumps the fluid at a pressure of approximately 10 pounds per square inch. By way of example, a suitable pump is available from ITT Jabsco in Costa Mesa, California under part number 30220-1012, model number 400. The ITT pump is capable of flow rates up to 400 gallons per hour, draws only 2 amperes of current, and can be powered by 12 volts DC. A pair of motor wires 26 extend from the pump 25 and can be wired to

the DC output of the automobile battery of a car or other DC power source. Other pumps are also suitable for the practice of the invention as long as they are light in weight, consume a minimum amount of electricity and are capable of generating enough pressure to keep the fluid 22 moving through the system. The tube 28 is
 5 preferably a flexible, plastic tubing suitable for plumbing applications, such as tetrafluoroethylene (TFE) or polytetrafluoroethylene (PTFE) tubing, silicon rubber, and other durable materials that are nonreactive with fluid 22.

Fluid 22 exits the pump 25 and enters the first tube 28 which is typically attached to an output port 29 by a standard band clamp 30. The fluid 22 is then
 10 carried by the first tube 28 into the second chamber 16 through an opening 31 in the dividing wall 19. Once the first tube 28 enters the second chamber 16, the tube preferably transitions from plastic to copper by means of an adapter. Copper and copper alloys are particularly useful because of their thermal conductivity and noncorrosiveness; however, other materials with these properties are also useful.
 15 The copper tubing section 32 of the first tube 28 extends in several loops around the second chamber 16. After the final loop, the first tube 28 exits the enclosure 16 through an opening, and the cooling medium 37 removes heat from the fluid 22 as it circulates through the first tube 28. The preferred cooling medium 37 is ice because it is inexpensive, non-toxic, and readily available. However, other cooling media may
 20 also be used. For example, dry ice (i.e., the solid form of carbon dioxide) and refreezable coolants such as BLUE ICE can be used with the invention. In the alternative, the second chamber 16 could be equipped with a heating element (not shown) to provide for heating a fluid to circulate through the vest and provide heating to the user.

25 The fluid 22 in the first chamber may be water, preferably distilled water to deter the buildup of scale and corrosion of the fluid-exposed metal parts of enclosure

10. Other fluids (or mixtures of such fluids) that are suitable for circulation through a closed loop cooling system, and also capable of absorbing and releasing heat, may be substituted. Water is useful because it is non-toxic. Mixtures of water with antifreeze are also broadly suitable for use with the invention: when the cooling
5 medium 37 is ice, operation of pump 25 may lead to freezing of tubes 28, 32, which disables the entire system. By using a mixture of water and antifreeze as fluid 22, the fluid circulating through tubes 28, 32 (and therefore chambers 13, 16) does not freeze, eliminating any need for adding water to the ice side of the system (i.e., second chamber 16) to prevent freezing. This considerably prolongs the time the ice (or
10 other coolant) in second chamber 16 lasts, thereby furthering the overall efficiency of the system.

The term "antifreeze" as used herein refer to any compound that, when added to water, lowers the freezing point thereof. Salts such as sodium chloride and magnesium chloride may be used; however their extreme corrosive properties are a
15 liability when used with any exposed metal components. Ethanol and methanol are also effective antifreezes, but are flammable and tend to evaporate rapidly at the operating temperatures of enclosure 10. The preferred antifreezes for use with the present invention are nonflammable, relatively noncorrosive, have relatively low evaporation rates, and are also effective heat-exchange agents. Antifreezes which
20 meet these requirements include glycol derivatives such as ethylene and propylene glycol. For example, a mixture of water and propylene glycol (with a concentration of 10–50 vol.% propylene glycol) can be carried in a cooling system for months (even years) without damaging the system, producing satisfactory cooling at a wide range of ambient temperatures. The most preferable antifreeze for use with the
25 invention is propylene glycol due to its relative nontoxicity (propylene glycol is used in food products, cleansing creams, and pharmaceuticals). Additional useful

compositions include polydimethylsiloxane (PDMS), an oxidation-resistant silicone polymer, antifreezes such as Dow Corning 200, and various heat transfer media such as DOWFROST and DOWTHERM.

The copper tubing section 32 is constructed of a sufficient length and number of turns to ensure that sufficient cooling occurs while the fluid 22 is circulating through the second chamber 16 in the tube 28. Thus, the optimum length and number of turns of tubing 32 depend on the dimensions of chambers 13 and 16, the inner diameter (i/d) of the tubing, the selection of fluid 22 and cooling medium 37, and the desired degree of cooling, and are best selected via a modest degree of experimentation and observation by those of ordinary skill in the art.

Turning to FIG. 2, the outside of the enclosure 10 may be coated with a hard plastic shell 40 that is preferably sprayed onto it. The plastic shell 40 may be sprayed onto the enclosure 10 by the same process and in the same manner as spray-on truck bed liners are formed. However, other materials such as polyethylene, nylon, and other polyamide polymers may also be useful, as may other processes. The hard plastic shell 40 protects the chambers 13 and 16 from dirt, debris, and damage. The enclosure 10 will normally be filled with the cooling medium 37 and the fluid 22 (for example, ice and water, respectively) prior to use and the shell 40 protects the unit during transport and storage. Also, the shell 40 functions as an additional thermal insulation barrier.

The enclosure 10 provides easy access for replacing the fluid 22 and the cooling medium 37. A first pipe stub 43 is preferably constructed of approximately 2" (about 5 cm) outside diameter (o/d) PVC pipe and has a removable cap 46 attached to it to provide access for filling the first chamber 13 with water or other suitable fluid. A second pipe stub 49 has an approximately 4" (about 10 cm) o/d and has a removable cap 52 attached to it. The second pipe stub 40 provides an opening

for filling the second chamber 16 with ice or other coolant. Other pipe sizes known to those skilled in the art are contemplated as being within the scope of the present invention.

Turning to FIG. 3, a cooling vest 55 has a pair of quick-connect valves 58 and 61 (preferably one-way quick-connect valves such as are known in the art) attached at opposite ends which connect to the first tube 28 and the return line 64 (shown in FIGS. 1 and 2) by male-female connectors, quick-connects, or other suitable devices. The vest 55 is formed out of two layers of flexible plastic that form inner and outer panels, the layers being heat sealed with a flexible channel 67 therebetween (the channel 67 may be integrally formed with the plastic layers). While heat-sealing is preferred, other techniques, including but not limited to the use of compatible adhesives, for securely fastening the two layers together may also be useful.

The channel 67 enables fluid 22 to pass through the vest 55 and is arranged in serpentine fashion throughout the vest 55. The fluid 22 is continuously pumped through the vest 55 from the input valve 58, which serves as an inlet port for circulation of the fluid through the vest 55, to the output valve 61. The serpentine pattern of the channel 67 is formed by a plurality of lengths 70 that wind back and forth throughout the vest 55. Suitable plastics include thermoplastic polymers such as SARAN and other polyvinylidene chlorides, polyvinylidene fluoride, and other flexible, relatively nontoxic materials.

The lengths 70 of channel 67 are connected to one another by one or more short passageways 73 positioned between the ends 76 of the length of channel 67. The short passageways 73 provide bypasses for the cooling fluid 22 when the main lengths 70 of channel 67 are blocked due to the position of the driver or the position of the vest 55 on the driver. When the channel 67 is not constricted the fluid 22 will pass through the channel 67 only and will not enter the short passageways 73.

The vest 55 has an opening 79 that fits over the head of the driver. Optionally, the vest 55 may include straps 82 with hook and loop fasteners 35 attached at the ends. When present, straps 82 are used to attach the front and back of the vest 55 together.

5 Referring back to FIG. 1, the return line 64 returns fluid 22 from the vest 55 to the first chamber 13. Once the fluid 22 reenters the first chamber 13 it is picked up by the intake of the pump 25 and recirculated through the system.

FIGS. 4 and 5 show an alternate embodiment of the present invention. The alternate embodiment includes additional apparatus for cooling the driver's helmet.
10 In order to cool the head and face of the driver, air from outside the car is gathered through a vent and conveyed through a tube 90 to a blower 93. The blower 93 produces approximately 230 cubic feet per minute (cfm) (about 109 l/sec); however, the range of cfm will vary depending on the fan or blower selected and is not critical. The intake air is taken directly from the outside of the car and may contain carbon
15 monoxide and other gases that need to be removed prior to passing the air to the driver. Also, the air from the track is very warm and has to be cooled before it can be conveyed to the helmet.

The tube 90 conveys air from the outside of the car to the intake 96 of the blower 93. The blower 93 conveys the air into a third chamber 99. The third
20 chamber 99 is adapted for mounting the blower 93 to an inlet 102. The third chamber 99 also has an outlet 105 that leads to a fourth chamber 105. A filter 110 is positioned inside the outlet 105 so that air passing from the third chamber 99 to the fourth chamber 105 has to pass through the filter 110. The filter 110 is preferably a cartridge type filter with activated charcoal 113 as the filter element although other
25 filter systems known to those skilled in the art are contemplated as being within the scope of the present invention. The third chamber 99 contains a cooling medium 116

for removing heat from the air as it passes through the chamber. The cooling medium 116 is also preferably ice; however, other cooling media (including those described above) may also be suitable.

Once the air enters the fourth chamber 108 it passes through another set of
 5 filters 117, or any suitable type, to reach a fifth chamber 119. The fourth chamber 108 also has a cooling medium 122 stored in the chamber to cool the air. A pressure equalization tube 125 extends from the bottom of the fourth chamber 108 to the bottom of the fifth chamber 119. The pressure equalization tube 125 prevents the fourth chamber 108 from building up too much pressure. If the fourth chamber 108
 10 builds up too much pressure, the water from the melting ice will be pressure conveyed into the driver's helmet. By utilizing a pressure equalizing tube 125 the pressure inside the fourth chamber 108 is controlled and air is allowed to pass through the system without picking up the water.

Air passes from the fifth chamber 119 to a sixth chamber 128 through a
 15 dividing wall 131. The dividing wall 131 has a set of apertures 134 (shown in FIG. 5) in it which allow air to pass. Air passes through the sixth chamber 128 and exits to the helmet through an outlet 137 that is connected to a tube 140. The tube 140 carries the air to the driver's helmet. The air conveyed to the helmet has been filtered to remove harmful gases and has been cooled and humidified to provide maximum
 20 comfort to the driver.

In FIG. 6 the enclosure 10 is shown in an alternate embodiment. In addition to the pipe stubs 43 and 49 there are pipe stubs 143 and 146 for inserting ice (or other coolant) into the third chamber 99 and the fourth chamber 108.

In operation, the apparatus is filled with ice and water (or other selected
 25 cooling medium 37 and fluid 22) in the appropriate compartments and then mounted inside a race vehicle. The electrical connection to the automobile battery is

preferably made with quick connect plugs and the driver has a manual switch (not shown) to turn the system on and off. The system operates automatically such that if the battery on the vehicle is cranked and the switch for the cooling apparatus is turned on, the system will run continuously and constantly circulate the fluid 22
 5 through the vest 55.

The cooling or heating efficacy of the above-described apparatus depends on the selection of fluid 22, cooling medium 37, and such other factors as will be evident to those of ordinary skill in the art. Once the apparatus is in operation and the vest 55 is charged (i.e., heated or cooled to within the desired starting temperature range),
 10 the user does not have to remain tethered to the pump 25: he or she may disconnect the vest 55 by disconnecting quick-connect valves 58, 61, and go about his or her business until it is necessary to recharge the vest. To recharge the vest 55, the user simply connects the valves 58, 61 to the first tube 28 and the return line 64, with or without removing the vest 55, leaves the valves connected until the desired cooling
 15 (or heating) effect is reached, and disconnects the valves. Thus, the vest 55 may be recharged as often as needed throughout a working day.

A single base unit (the enclosure 10 with pump 25 and associated components as described above) can be used with a single vest 55 in the manner described above. Depending on the environment wherein the invention is deployed,
 20 the user may prefer to disconnect valves 58, 61 from enclosure 10 once the vest 55 is charged, reconnecting the valves only when the vest 55 needs to be recharged. Alternatively, he or she may prefer to remain connected to the enclosure 10 to eliminate the need for periodic recharging of the vest 55. For applications where the user (or users) of the vest 55 do not want or need to remain connected to the
 25 enclosure 10, it will be evident that one such enclosure can service a plurality of vests 55 (or other user-wearable apparatus) in sequence.

In another embodiment of the invention, the enclosure 10 may be configured with a plurality of tubes 28 and an equal plurality of return lines 64, so that the enclosure can service a plurality of vests 55 at the same time. In this embodiment, the enclosure 10 with pump 25 and associated components as described above may be provided in a size and pumping capacity that depend on the anticipated use. For example, a single enclosure 10 could have just one pair of lines 28, 64 connectable to the valves 58, 61 of the vest 55, or a plurality of pairs of such lines (a plurality of pairs of lines 28, 64 enables the pump 25 to service an equal plurality of vests 55 at the same time).

The enclosure 10 can be a stationary (i.e., permanent or semipermanent) installation, or it can be mounted on virtually any type of vehicle, including but not limited to construction equipment, golf carts, trucks, pickup trucks, automobiles, boats, submarines, and airplanes. The enclosure 10 may be connected to the vehicle's electrical system, or it can be provided with its own self-contained power system.

The pump 25 is preferably capable of pumping at least approximately one gallon per minute (about 3.8 l/min) of fluid 22; pumps with different capacities may be useful for various applications.

When the cooling medium 37 is ice and the fluid 22 is water, the above-described vest 55 will typically retain its body-cooling ability for approximately 10–15 minutes when disconnected from pump 25 (the exact time depends on the dimensions of the vest 55, the temperature to which the vest is cooled, and ambient environmental conditions). Now, surprisingly, it has been found that making the two plastic layers of above-described vest 55 of a suitable liquid-retaining composite material (or adding a layer of such material about channel 67) increases the useful duty cycle (i.e., the operating period or the time between successive recharges) of the vest by a factor of five or even more.

Turning now to FIG. 7, there is shown a top view of a vest 150 according to the invention, wherein the vest 150 is formed of two layers of a flexible, liquid-retaining material (only a top layer 152 of the vest is shown). The vest 150, like above-described vest 55, has a flexible interior channel 67 and a pair of quick-connect valves 58 and 61 (or other suitable connectors) attached at opposite ends which connect to the first tube 28 and the return line 64 by male-female connectors, quick-connects, or other suitable devices. Alternatively, a jacket 154 surrounds the channel 67.

The layer 152 and the jacket 154 are preferably made of a multilayered composite material 160 which includes a liquid-retaining (i.e., fluid-absorbing) filler layer 162 sandwiched between two retainer layers 164, 166 (see FIG. 8). The filler layer 162 is preferably impregnated with liquid-absorbent particles; thus, the two retainer layers 164, 166 serve to keep these particles in place. At least one of the retainer layers 164, 166 may be made of a substantially water-impermeable material, preferably a water-impermeable but breathable material such as GORETEX. Alternatively, the material 160 may have a substantially waterproof coating 168. The other retainer layer is preferably a high-porosity material which permits the passage of a liquid such as water (or a water-antifreeze mixture as described above), but retains the absorbent particles of the filler layer. One or both of retainer layers 164, 166 may be made of nonbreathable materials such as NOMEX provided that provisions are made to permit the passage of liquid through the material, for example, by piercing the material with a plurality of small punctures.

The filler layer 162 may be a fiberfill batting impregnated with liquid-absorbent particles (for example, particles of super-absorbent polymer). If desired, the composite material 160 may also include one or more protective layers 170 of fire and/or impact-resistant material such as KEVLAR, NOMEX, or fire-retardant cotton

or other textile. Layer 170, if present, is useful for applications wherein the user of the vest 150 may be exposed to fire or extreme heat, or require protection from gunfire or extreme impacts.

Useful composite materials for layer 152 and jacket 154 (if present) include the material marketed as HYDROWEAVE by AquaTex Industries of Huntsville, AL. This material is described in U.S. Patent No. 5,885,912 entitled "Protective Multi-Layered Liquid Retaining Composite," the disclosure of which is incorporated herein by reference. However, it should be understood that other materials with the desired properties are also useful for the practice of the invention.

When fully charged and disconnected from the enclosure 10, the vest 150 provides effective cooling (or heating) for up to two (2) hours or even longer, depending on the ambient temperature and the temperature of vest 150 when it is initially disconnected from the enclosure. The user can recharge (i.e., cool or heat) the vest 150 simply by reconnecting it to tubes 28, 64 and by operating pump 25. Typically, the vest 150 is cooled down and ready for use in less than a minute. Indeed, the user need not even doff the vest 150 in order to recharge it: he can simply connect valves 58, 61 to tubes 28, 64 for the required period of time. The vest 150 may, of course, be used with the helmet-cooling apparatus shown in FIGS. 4 and 5.

Above-described vests 55 and 150 preferably cover at least the upper portion of the user's body, i.e., the vests extend from the shoulders to at least just below the waist. The vests 55 and 150 may be made in any useful sizes. However, it is believed that just a few sizes (such as "small," "medium," and "large") are sufficient to accommodate most potential users.

It is preferable to have a vest that extends at least approximately 4" (about 10 cm) below the waist so as to cover all or most of the user's torso. A vest with these

dimensions protects the major internal organs of the human body (i.e., the heart, lungs, liver, stomach, spleen, pancreas, and kidneys), thereby permitting the hot blood entering the core region of the body to be cooled before going back out to the extremities. This configuration, shown in FIG. 9 as a vest 180, results in effective
 5 lowering of the body core temperature, thereby helping prevent heat-related injuries. It also enables the vest 180 (or indeed vests 55, 150) to be used as a first-line medical treatment for heat-related injuries. If desired, the vest 180 may include straps 82 with hook and loop fasteners 35 attached at the ends, used to attach the front and back of the vest 55 together.

10 The vests 55, 150, 180 may also be used to warm the blood when used in cold environments. (When the invention is used for heating, cold blood is warmed while in the core region of the body.)

As noted above, a single base unit such as enclosure 10 (with the pump 25 and associated components) may be fitted with a plurality of lines 28, 64 connectable
 15 to valves 58, 61 in order to have the capability of servicing a plurality of vests 55, 150, 180 at the same time. For example, enclosure 10 could be fitted with five or ten pairs of lines 28, 64, or indeed any convenient number of such lines. This feature allows a single base unit to be used for servicing a number of vests, both simultaneously and sequentially. Since the vests 55, 150, 180 have extended
 20 operating periods and can be quickly charged (i.e., cooled or heated) when used with the appropriate fluids, a number of users can access the enclosure 10 sequentially. The total number of users is limited by the number of lines 28, 64, the starting temperature of the fully-charged vest 55, 150, or 180, the useful operating time or duty cycle of the vest, the ambient temperature, and the time needed to recharge the
 25 vest.

In still another embodiment of the present invention, the base unit may be

any convenient device that can be used for changing the temperature of a vest 55, 150, 180, such as a refrigerator, heater, or Peltier unit. A temperature-changing device 200 is shown schematically in Fig. 10. The device 200 may include a pair of lines 28, 64 (and associated components) as described above for circulating fluid from the vest 55, 150, or 180 therethrough, or a plurality of such pairs of lines 28, 64. The device 200 may also include an access door or port 202 that permits access to the interior of the device, such as for maintenance purposes. Alternatively, when the vest 55, 150, or 180 is used with a suitable cooling (or heating) fluid, the vest may be cooled (or heated) simply by placing it inside the device 200 for a sufficient period of time.

While conventional refrigerators and heaters (including microwave heaters) may be useful, heating/cooling devices based on the Peltier effect (also referred to in the art as thermoelectric heating/cooling devices or "electronic heat pumps") are especially useful for the practice of the invention since they can be used for both heating and cooling applications. These devices operate via the Peltier effect, wherein heat is evolved or absorbed at the junction of two dissimilar metals carrying an electrical current, depending on the direction of the current. Thus, a Peltier device 200 can be switched from cooling items placed in its interior to heating the items simply by changing the direction of current flow. As for above-described enclosure 10, device 200 may be a stationary unit, a portable unit, or may be mounted to any suitable vehicle.

Accordingly, the present invention offers many advantages, including the ability to provide efficient cooling or heating, as may be needed, for users who are working in severe environments.

Another advantage of the present invention is that it provides a relatively lightweight system that requires very little electrical power from the vehicle battery

or other power source.

Yet another advantage is that the system could easily be modified to adapt to an AC power source and be used by a pit crew during a race. The pit crews are also exposed to severe temperatures at a track. Also, the system may be adapted to
5 many other applications where cooling or heating from a vest is desirable.

Still another advantage of the present invention is that it provides an extended use time (as long as two hours or even longer, depending on the ambient temperature and the selection of heating or cooling fluid), and can be cooled down in less than a minute while being worn. The user may, therefore, quickly and easily recharge the
10 vest as many times as needed during a working day.

Another advantage of the present invention is that it can be used with a wide range of heating/cooling devices (the above-described enclosure 10 and device 200).

Yet another advantage of the present invention is that it allows one base unit (i.e., the enclosure 10, the device 200) to service a number of users of the vest, either
15 sequentially (where each user connects his or her vest to the base unit in turn), simultaneously (where a plurality of users connect their vests to an equal plurality of inlet and outlet ports on the base unit), or a combination of sequential and simultaneous operation.

With respect to the above description of the invention, it is to be realized
20 that the optimum dimensional relationships for the parts of the invention, to include variations in size, materials, shape, form, function and manner of operation, assembly and use, are deemed readily apparent and obvious to one skilled in the art, and all equivalent relationships to those illustrated in the drawings and described in the specification are intended to be encompassed by the present invention.

25 Therefore, the foregoing description is considered as illustrative only of the principles of the invention. Further, since numerous modifications and changes will

readily occur to those skilled in the art, it is not desired to limit the invention to the exact construction and operation shown and described, and accordingly, all suitable modifications and equivalents may be resorted to, falling within the scope of the invention. Thus, it will be apparent to those skilled in the art that many alternatives, substitutions, equivalents, and modifications can be made to the preferred embodiments herein described without departing from the spirit and scope of the present invention as defined by the appended claims.

WHAT IS CLAIMED IS:

1. A body heating/cooling apparatus, comprising:

means for changing a temperature of a fluid, said temperature-changing means

5 having inlet means and outlet means;

pump means for conveying fluid from said inlet means through said temperature-changing means to said outlet means so that said temperature is changed thereby;

10 a vest having a having an inlet, an outlet, and a cavity disposed therebetween, said cavity created by a plurality of lengths of a continuous channel disposed in serpentine fashion throughout said vest, said plurality of lengths of channel being connected to one another by at least one short passageway disposed between the ends of the length of channel, said channel connected at one end to said inlet and at the other end to said outlet;

15 first connecting means for connecting said inlet of said vest to said outlet means so that fluid is capable of flowing from said outlet means to said inlet; and

second connecting means for connecting said outlet of said vest to said inlet means so that fluid is capable of flowing from said outlet to said inlet means, said fluid drawn from said cavity by said pump means, said fluid returning to said cavity
20 after passing through said temperature-changing means.

2. The apparatus as recited in claim 1, wherein said cavity is formed into a channel.

25 3. The apparatus as recited in claim 1, wherein said channel is formed between two layers of heat sealed material, each of said layers including a fluid-

absorbent material.

4. The apparatus as recited in claim 1, wherein said channel is formed between two layers of material, each of said layers made of a multi-layered composite material including:

a pair of retainer layers; and

a fluid-absorbing layer between said pair of retainer layers, said fluid-absorbing layer having an inner surface and an outer surface, said inner surface engaging a surface of one of said pair of retainer layers and said outer surface engaging a surface of the other of said pair of retainer layers.

5. The apparatus as recited in claim 1, further comprising a jacket surrounding said channel, said jacket including a fluid-absorbing material.

6. The apparatus as recited in claim 1, further comprising a jacket surrounding said channel, said jacket made of a multi-layered composite material including:

a pair of retainer layers; and

a fluid-absorbing layer between said pair of retainer layers, said fluid-absorbing layer having an inner surface and an outer surface, said inner surface engaging a surface of one of said pair of retainer layers and said outer surface engaging a surface of the other of said pair of retainer layers.

7. The apparatus as recited in claim 1, wherein said temperature-changing means further comprises a refrigerator, a heater, or a thermoelectric device.

8. The apparatus as recited in claim 1, wherein said temperature-changing means further comprises:

an enclosure;

5 a first chamber disposed inside the enclosure and containing a fluid;

a pump disposed inside the first chamber and having an outlet for conveying the fluid;

a second chamber disposed inside the enclosure and containing a heat transfer medium;

10 a first tube extending from the outlet of the pump and capable of conveying the fluid from the pump through the second chamber such that heat transfer between the fluid and the heat transfer medium occurs while the fluid passes through the second chamber;

a vest having an inlet and an outlet and a cavity disposed therebetween, the inlet connected to the first tube such that fluid is capable of flowing from the inlet in the vest to the outlet in the vest through the cavity;

15

a return tube extending from the outlet of the vest to the first chamber such that the fluid returns to the first chamber after passing through the vest;

a third chamber having a heat transfer medium therein, the third chamber disposed inside the enclosure and having an inlet and an outlet;

20

a blower having an outlet capable of engaging with the inlet to the third chamber;

a fourth chamber disposed inside the enclosure adjacent to the third chamber and having a heat transfer medium disposed therein;

25 a filter disposed between the third chamber and the fourth chamber;

a fifth chamber disposed adjacent to the fourth chamber;

a pressure equalization tube extending from the fourth chamber to the fifth chamber;

at least one filter covering an opening between the fourth chamber and the fifth chamber;

5 a sixth chamber disposed adjacent too the fifth chamber and having an outlet with an opening extending too the outside of the enclosure; and

a dividing wall having a plurality of apertures and disposed between the fifth and sixth chamber.

10 9. The apparatus as recited in claim 1, wherein said fluid contains antifreeze.

10. A body heating/cooling apparatus, comprising:

a vest having an inner panel, an outer panel, an inlet, an outlet, and a cavity disposed between said inner and outer panels; and

15 plurality of lengths of a continuous channel disposed in serpentine fashion throughout said cavity, said plurality of lengths of channel being connected to one another by at least one short passageway disposed between the ends of the length of channel, said channel having one end connected to said inlet and the other end connected to said outlet so that fluid is capable of flowing from said inlet to said
20 outlet through said channel, said vest containing a fluid-absorbing material.

11. The apparatus as recited in claim 10, wherein said channel is integrally formed with said front and back panels.

25 12. The apparatus as recited in claim 10, wherein said vest includes a jacket surrounding said channel, said jacket containing said fluid-absorbing material.

13. The apparatus as recited in claim 10, wherein said fluid-absorbing material has an inner surface and an outer surface, said inner surface engaging a surface of one of a pair of retainer layers and said outer surface engaging a surface of the other of said pair of retainer layers, said fluid-absorbing material and said pair of retainer layers forming a multi-layered composite material.

14. The apparatus as recited in claim 10, wherein said inner and outer panels include said fluid-absorbing material.

15. The apparatus as recited in claim 14, wherein said fluid-absorbing material has an inner surface and an outer surface, said inner surface engaging a surface of one of a pair of retainer layers and said outer surface engaging a surface of the other of said pair of retainer layers, said fluid-absorbing material and said pair of retainer layers forming a multi-layered composite material.

16. The apparatus as recited in claim 10, further comprising temperature-changing means adapted for connecting to said vest.

17. A body heating/cooling apparatus, comprising:
a vest having an inner panel, an outer panel, an inlet, an outlet, and a cavity disposed between said inner and outer panels;
a plurality of lengths of a continuous channel disposed in serpentine fashion throughout said cavity, said plurality of lengths of channel being connected to one another by at least one short passageway disposed between the ends of the length of channel, said channel having one end connected to said inlet and the other end

connected to said outlet so that fluid is capable of flowing from said inlet to said outlet through said channel, said vest containing a fluid-absorbing material;

an enclosure capable of containing a fluid;

a pump disposed inside the enclosure for conveying the fluid, the pump
5 having an inlet and an outlet;

a first tube extending from the outlet of the pump, said first tube connectable to said inlet of said vest; and

a return tube extending from the outlet of the vest to the inlet of the pump so that fluid is capable of flowing from the inlet of the vest through said cavity and
10 through said outlet of said enclosure.

18. The apparatus as recited in claim 17, wherein said fluid contains an antifreeze.

15 19. The apparatus as recited in claim 17, wherein said enclosure is coated with a plastic material.

20. The enclosure as recited in claim 17, wherein said first tube has a section formed out of copper.

ABSTRACT OF THE DISCLOSURE

A body heating/cooling apparatus comprising an enclosure (10) or other device (200) for raising or lowering the temperature of a fluid (22) prior to circulating the fluid (22) through a vest (55). The enclosure (10) has a first chamber (13) where the fluid (22) returning from the vest (55) is picked up by a pump (25) and recirculated through a second chamber (16). The vest (55) has an integrally formed channel (67) that passes through the vest (55) in serpentine fashion, and may include a fluid-absorbing composite material (160). The enclosure (10) and device (200) may also be equipped with a module for also providing helmet cooling for racing car applications.

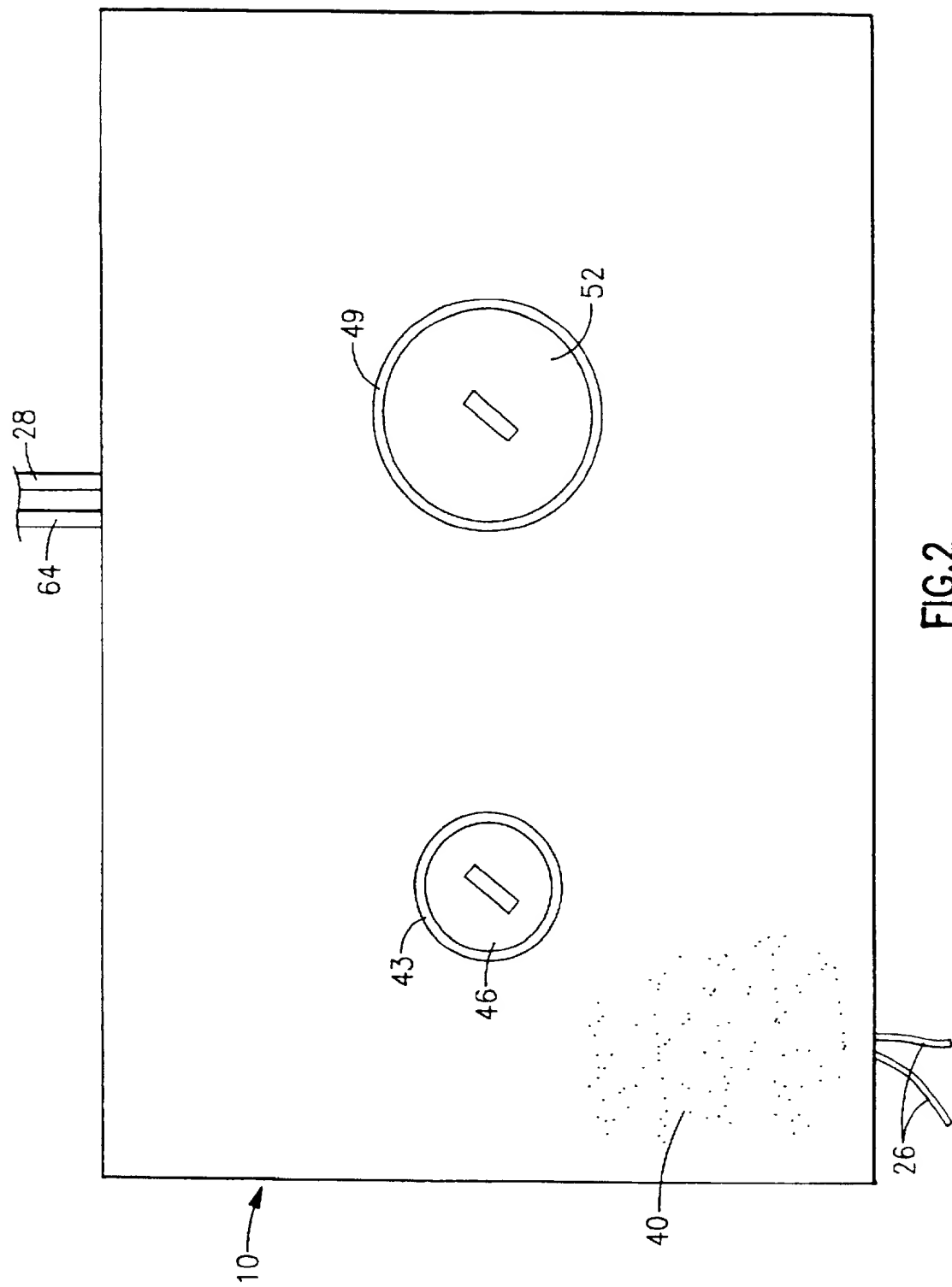
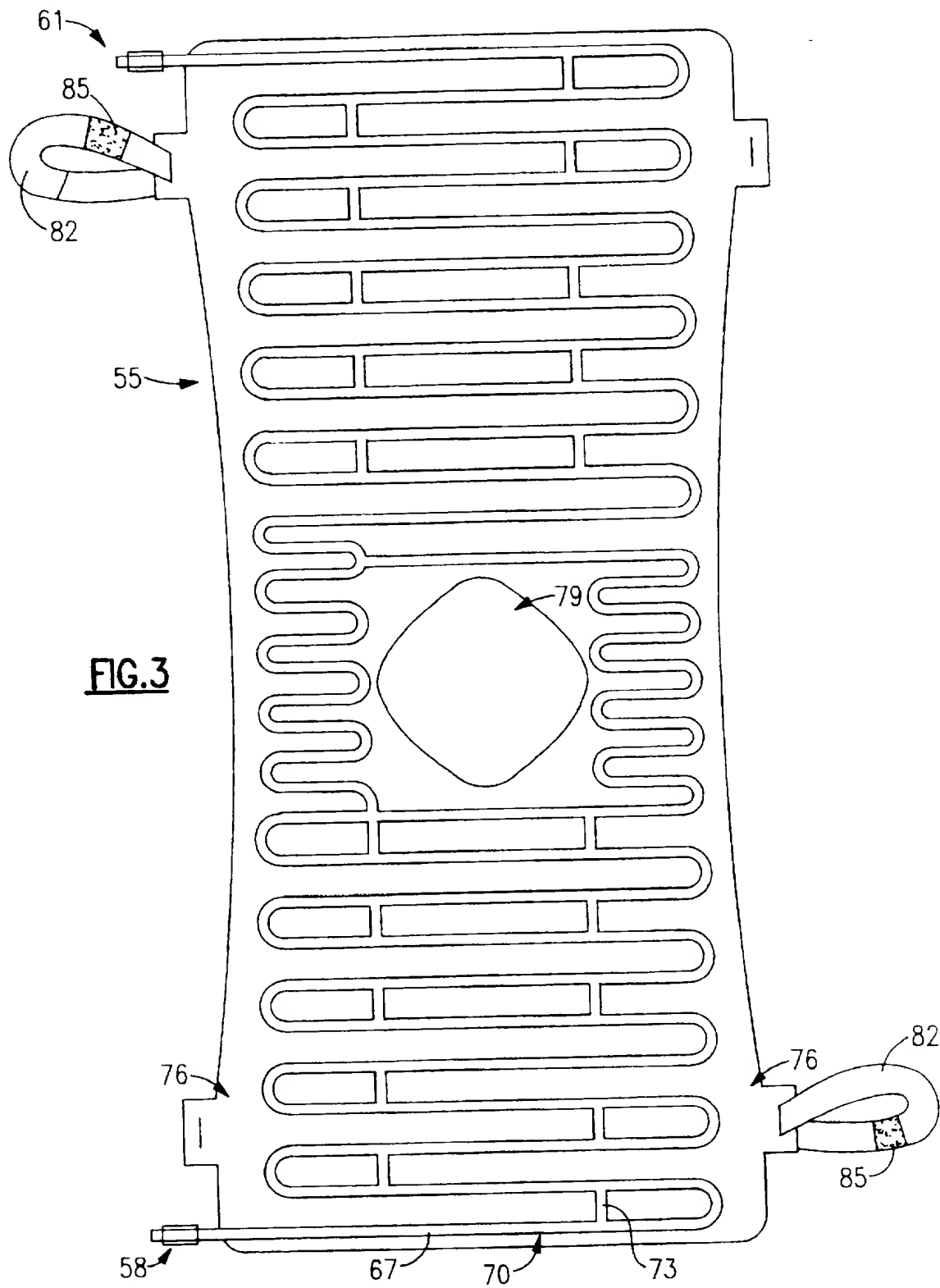


FIG. 2

FIG. 3



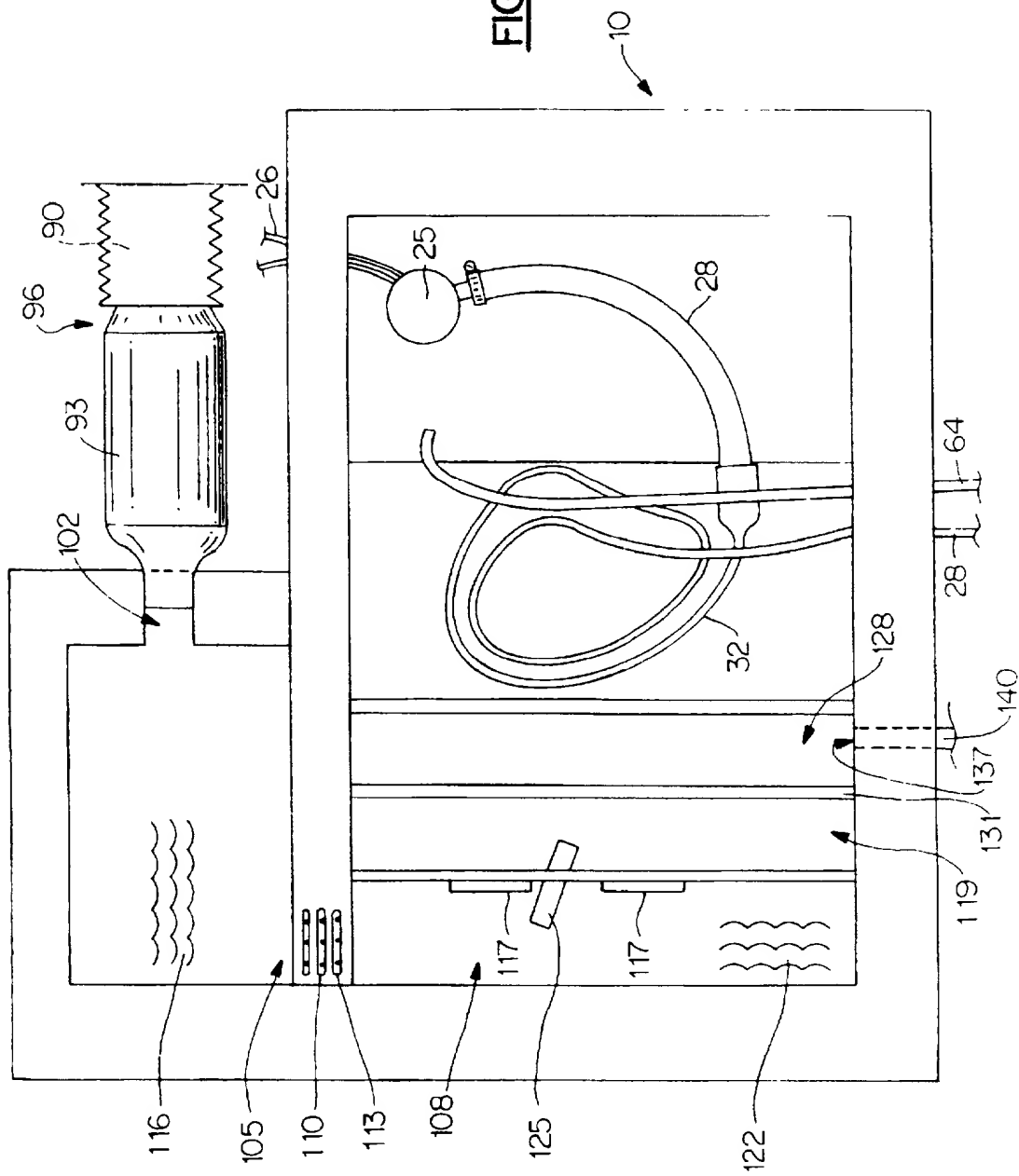


FIG. 4

10

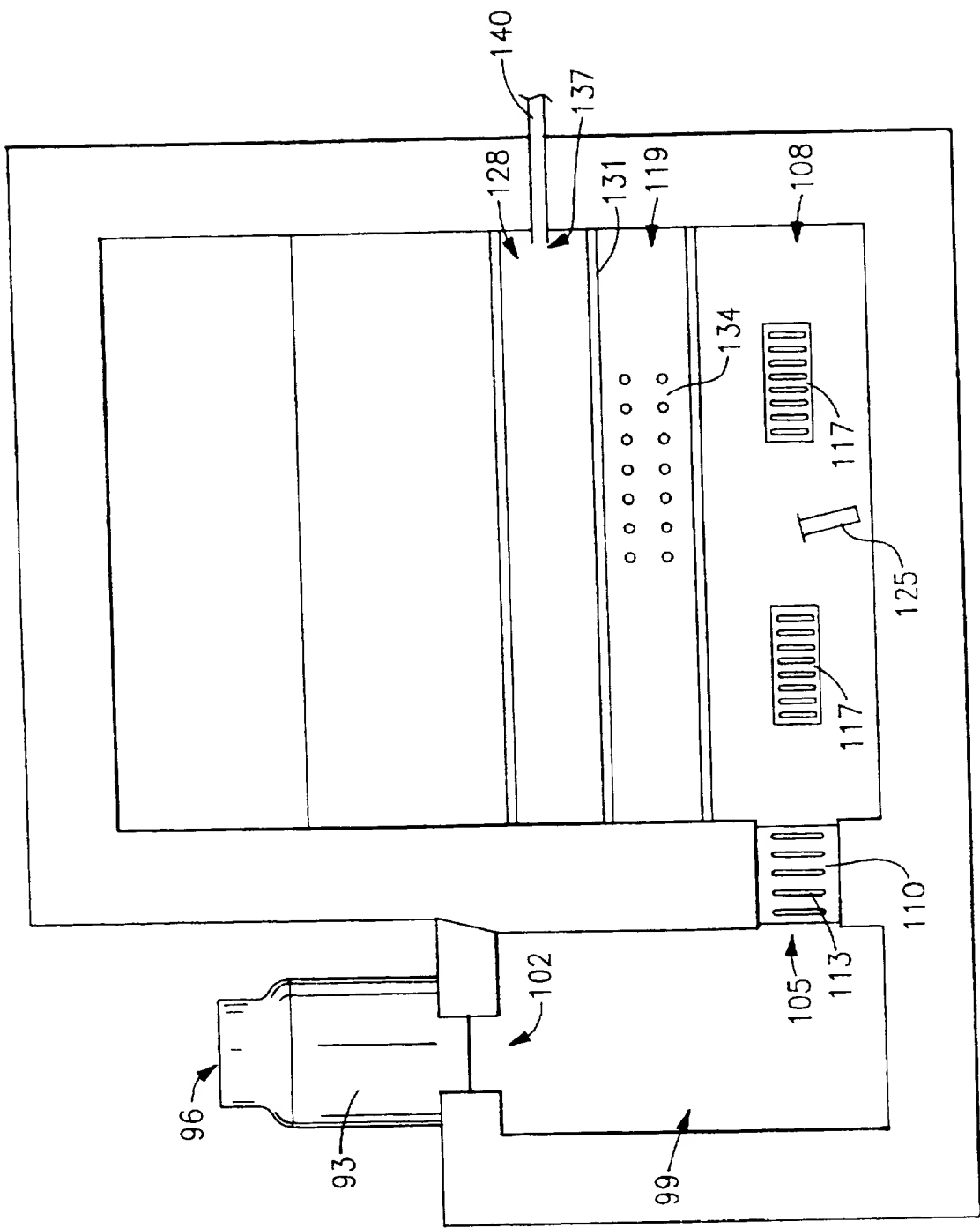
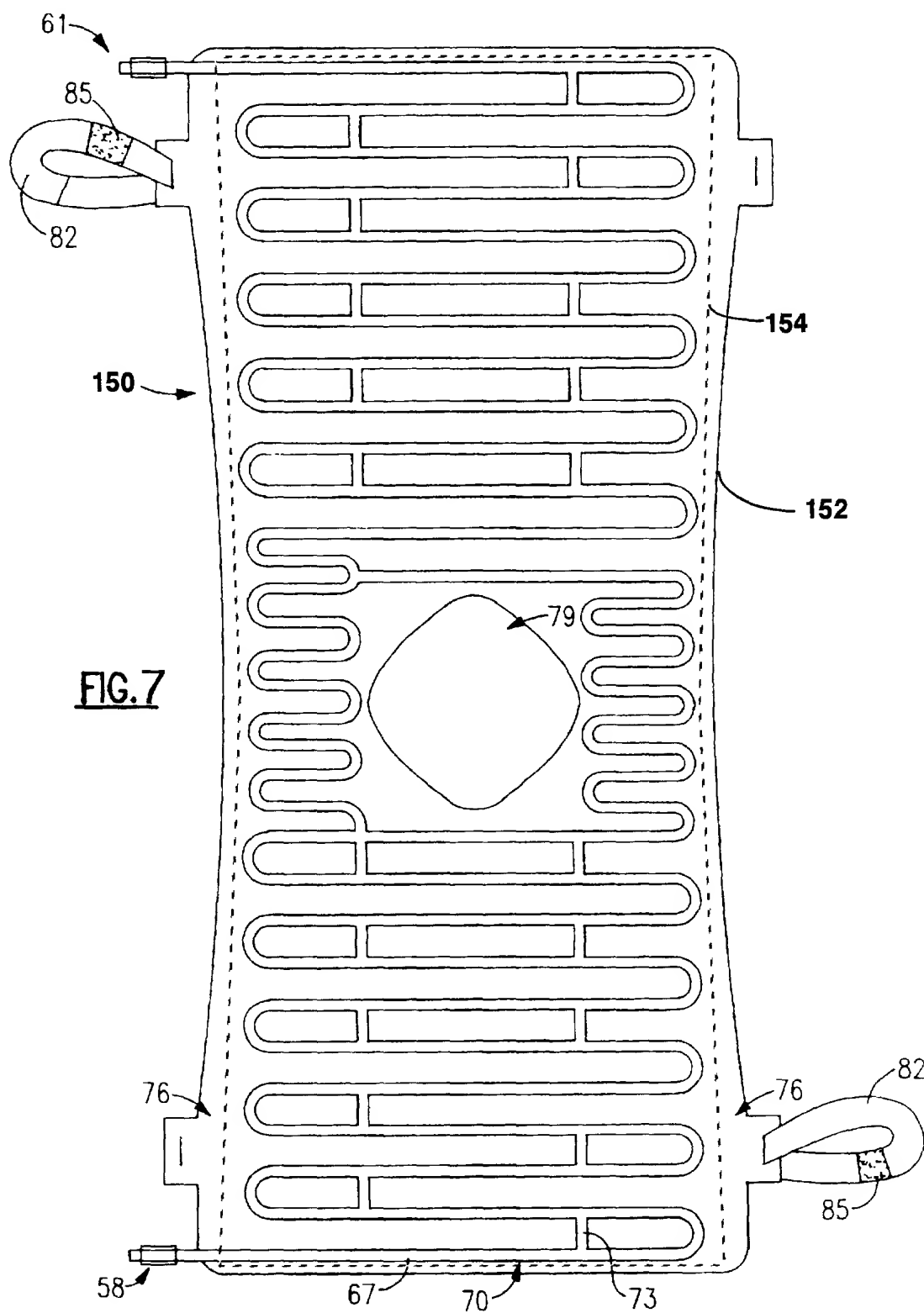


FIG. 5





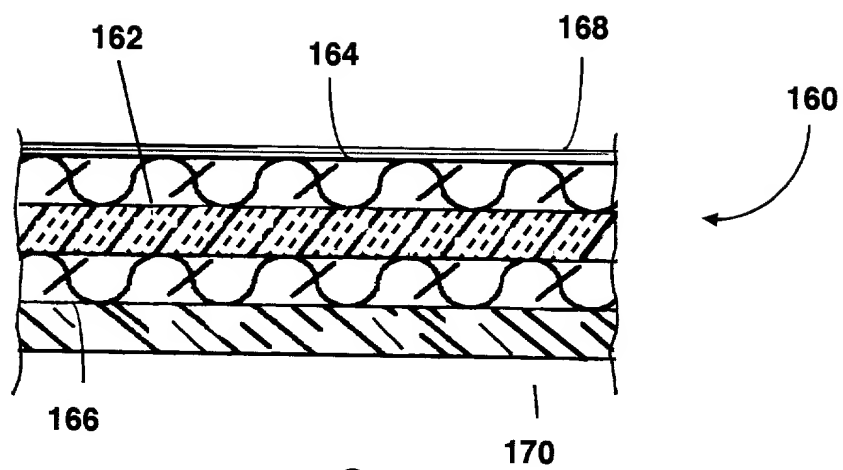


FIG. 8

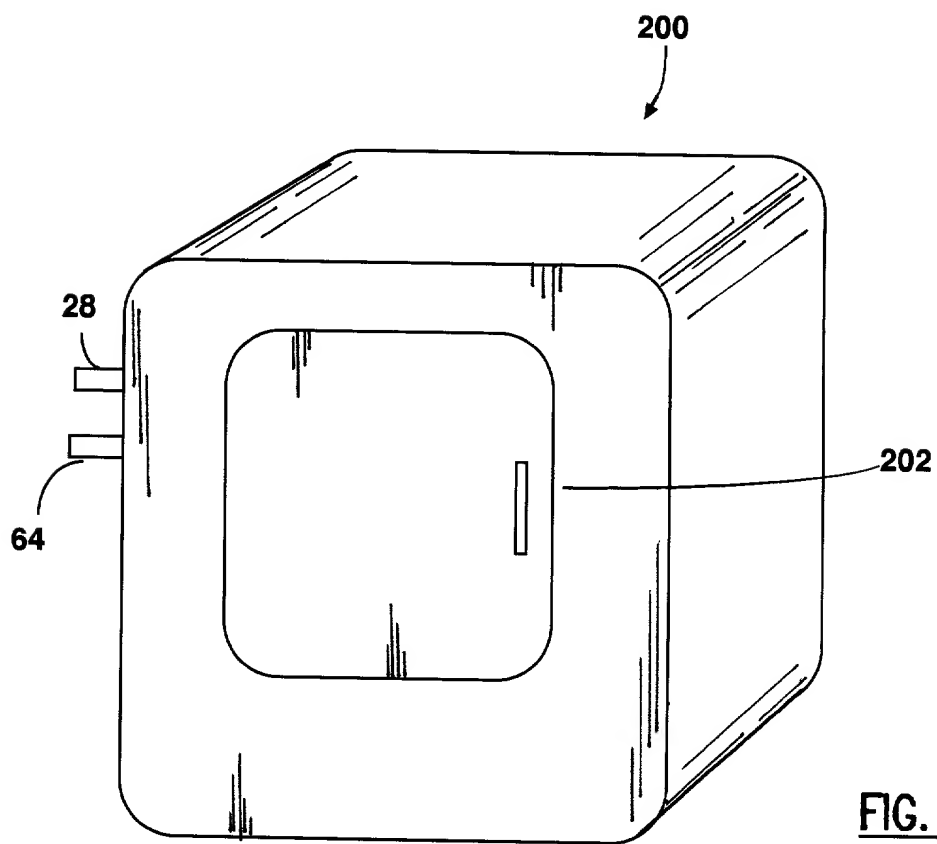


FIG. 10

FIG. 9



COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,
CONTINUATION, OR C-I-P)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type:

(check one applicable item below)

- ☒ original.
☐ design.
☐ supplemental.

NOTE: If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application, do not check next item; check appropriate one of last three items.

- ☐ national stage of PCT.

NOTE: If one of the following 3 items apply, then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION OR C-I-P.

NOTE: See 37 C.F.R. § 1.63(d) (continued prosecution application) for use of a prior nonprovisional application declaration in the continuation or divisional application being filed on behalf of the same or fewer of the inventors named in the prior application.

- ☐ divisional.
☐ continuation.

NOTE: Where an application discloses and claims subject matter not disclosed in the prior application, or a continuation or divisional application names an inventor not named in the prior application, a continuation-in-part application must be filed under 37 C.F.R. § 1.53(b) (application filing requirements — nonprovisional application).

- ☐ continuation-in-part (C-I-P).

INVENTORSHIP IDENTIFICATION

WARNING: If the inventors are each not the inventors of all the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below, next to my name. I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

Body Heating/Cooling Apparatus

SPECIFICATION IDENTIFICATION

the specification of which:

(complete (a), (b), or (c))

(a) ☒ is attached hereto.

NOTE: "The following combinations of information supplied in an oath or declaration filed on the application filing date with a specification are acceptable as minimums for identifying a specification and compliance with any one of the items below will be accepted as complying with the identification requirement of 37 CFR 1.63:

"(1) name of inventor(s), and reference to an attached specification which is both attached to the oath or declaration at the time of execution and submitted with the oath or declaration on filing;

"(2) name of inventor(s), and attorney docket number which was on the specification as filed;
or

"(3) name of inventor(s), and title which was on the specification as filed."

Notice of July 13, 1995 (1177 O.G. 60).

(b) ☐ was filed on _____, as ☐ Serial No. 0 / _____
or ☐ _____
and was amended on _____ (if applicable).

NOTE: Amendments filed after the original papers are deposited with the PTO that contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.

NOTE: "The following combinations of information supplied in an oath or declaration filed after the filing date are acceptable as minimums for identifying a specification and compliance with any one of the items below will be accepted as complying with the identification requirement of 37 CFR 1.63:

"(1) name of inventor(s), and application number (consisting of the series code and the serial number; e.g., 08/123,456);

"(2) name of inventor(s), serial number and filing date;

"(3) name of inventor(s) and attorney docket number which was on the specification as filed;

"(4) name of inventor(s), title which was on the specification as filed and filing date;

"(5) name of inventor(s), title which was on the specification as filed and reference to an attached specification which is both attached to the oath or declaration at the time of execution and submitted with the oath or declaration; or

"(6) name of inventor(s), title which was on the specification as filed and accompanied by a cover letter accurately identifying the application for which it was intended by either the application number (consisting of the series code and the serial number; e.g., 08/123,456), or serial number and filing date. Absent any statement(s) to the contrary, it will be presumed that the application filed in the PTO is the application which the inventor(s) executed by signing the oath or declaration."

Notice of July 13, 1995 (1177 O.G. 60), M.P.E.P. § 601.01(a), 6th ed., rev. 3.

(c) ☐ was described and claimed in PCT International Application No. _____, filed on _____ and as amended under PCT Article 19 on _____ (if any).

SUPPLEMENTAL DECLARATION (37 C.F.R. § 1.67(b))

(complete the following where a supplemental declaration is being submitted)

- ☐ I hereby declare that the subject matter of the
- ☐ attached amendment
- ☐ amendment filed on _____

was part of my/our invention and was invented before the filing date of the original application, above-identified, for such invention.

ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56,

(also check the following items, if desired)

- ☒ and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, and
- ☐ in compliance with this duty, there is attached an information disclosure statement, in accordance with 37 CFR 1.98.

PRIORITY CLAIM (35 U.S.C. §§ 119(a)-(d))

NOTE: "The claim to priority need be in no special form and may be made by the attorney or agent if the foreign application is referred to in the oath or declaration as required by § 1.63. The claim for priority and the certified copy of the foreign application specified in 35 U.S.C. 119(b) must be filed in the case of an interference (§ 1.630), when necessary to overcome the date of a reference relied upon by the examiner, when specifically required by the examiner, and in all other situations, before the patent is granted. If the claim for priority or the certified copy of the foreign application is filed after the date the issue fee is paid, it must be accompanied by a petition requesting entry and by the fee set forth in § 1.17(i). If the certified copy is not in the English language, a translation need not be filed except in the case of interference; or when necessary to overcome the date of a reference relied upon by the examiner; or when specifically required by the examiner, in which event an English language translation must be filed together with a statement that the translation of the certified copy is accurate." 37 C.F.R. § 1.55(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §§ 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(complete (d) or (e))

- (d) ☒ no such applications have been filed.
- (e) ☐ such applications have been filed as follows.

NOTE: Where item (c) is entered above and the International Application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.

**PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION
AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119(a)-(d)**

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
			<input type="checkbox"/> YES NO <input type="checkbox"/>
			<input type="checkbox"/> YES NO <input type="checkbox"/>
			<input type="checkbox"/> YES NO <input type="checkbox"/>
			<input type="checkbox"/> YES NO <input type="checkbox"/>
			<input type="checkbox"/> YES NO <input type="checkbox"/>

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S)
(34 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

PROVISIONAL APPLICATION NUMBER

FILING DATE

____ / _____
____ / _____
____ / _____

CLAIM FOR BENEFIT OF EARLIER US/PCT APPLICATION(S)
UNDER 35 U.S.C. 120

- ☐ The claim for the benefit of any such applications are set forth in the attached ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR CONTINUATION-IN-PART (C-I-P) APPLICATION.

**ALL FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

NOTE: If the application filed more than 12 months from the filing date of this application is a PCT filing forming the basis for this application entering the United States as (1) the national stage, or (2) a continuation, divisional, or continuation-in-part, then also complete ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR C-I-P APPLICATION for benefit of the prior U.S. or PCT application(s) under 35 U.S.C. § 120.

POWER OF ATTORNEY

I hereby appoint the following practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

(list name and registration number)

Maria Reichmanis
Reg. No. 37,220

(check the following item, if applicable)

- ☒ I hereby appoint the practitioner(s) associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.
- ☒ Attached, as part of this declaration and power of attorney, is the authorization of the above-named practitioner(s) to accept and follow instructions from my representative(s).

SEND CORRESPONDENCE TO

DIRECT TELEPHONE CALLS TO:
(Name and telephone number)

☒ Address

Maria Reichmanis
P.O. Box 3306
Aiken, SC 29802

Maria Reichmanis
Tel. (803) 641-1900

☒ Customer Number 24362

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

NOTE: Carefully indicate the family (or last) name, as it should appear on the filing receipt and all other documents.

NOTE: Each inventor must be identified by full name, including the family name, and at least one given name without abbreviation together with any other given name or initial, and by his/her residence, post office address and country of citizenship. 37 CFR § 1.63(a)(3).

NOTE: Inventors may execute separate declarations/oaths provided each declaration/oath sets forth all the inventors. Section 1.63(a)(3) requires that a declaration/oath, inter alia, identify each inventor and prohibits the execution of separate declarations/oaths which each sets forth only the name of the executing inventor. 62 Fed. Reg. 53,131, 53,142, October 10, 1997,

Full name of sole or first inventor

Donny	Ray	Jenkins
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAMILY (OR LAST NAME)

Inventor's signature _____

Date 6/2/00 Country of Citizenship USA

Residence 106 Bridle Creek Lane, Edgefield, SC 29824

Post Office Address 106 Bridle Creek Lane, Edgefield, SC 29824

Full name of second joint inventor, if any

_____	_____	_____
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAMILY (OR LAST NAME)

Inventor's signature _____

Date _____ Country of Citizenship _____

Residence _____

Post Office Address _____

Full name of third joint inventor, if any

_____	_____	_____
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAMILY (OR LAST NAME)

Inventor's signature _____

Date _____ Country of Citizenship _____

Residence _____

Post Office Address _____

(check proper box(es) for any of the following added page(s)
that form a part of this declaration)

- ☐ **Signature** for fourth and subsequent joint inventors. *Number of pages added* _____

* * *

- ☐ **Signature** by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. *Number of pages added* _____

* * *

- ☐ **Signature** for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR 1.47. *Number of pages added* _____

* * *

- ☐ Added page for **signature** by one joint inventor on behalf of deceased inventor(s) where legal representative cannot be appointed in time. (37 CFR 1.47)

* * *

- ☐ Added pages to combined declaration and power of attorney for divisional, continuation, or continuation-in-part (C-I-P) application.

☐ Number of pages added _____

* * *

- ☒ Authorization of practitioner(s) to accept and follow instructions from representative.

* * *

(if no further pages form a part of this Declaration,
then end this Declaration with this page and check the following item)

- ☐ This declaration ends with this page.

Practitioner's Docket No. 00-2024

PATENT

**ADDED PAGE TO COMBINED DECLARATION AND POWER OF
ATTORNEY FOR AUTHORIZATION OF ATTORNEY(S) TO ACCEPT AND
FOLLOW INSTRUCTIONS FROM REPRESENTATIVE**

The undersigned to this declaration and power of practitioner hereby authorizes the U.S. practitioner(s) named herein to accept and follow instructions from

Brenda S. Jenkins

Name(s) of authorized representative(s)

106 Bridle Creek Lane

Address

Edgefield, SC 29824

as to any actions to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. practitioner(s) and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. practitioner(s) will be so notified by the undersigned.

Attorney's Docket No. 00-2024

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of: D. R. Jenkins

Application No.: Unknown

Group No. Unknown

Filed: Herewith

Examiner: Unknown

For: Body Heating/Cooling Apparatus

☐ Patent No.: _____

Issued: _____

**NOTE: Insert name(s) of inventor(s) and title also for patent. Where submission is with respect to a maintenance fee payment, also insert application number and filing date, and mark Form Box M Fee.*

**Assistant Commissioner for Patents
Washington, D.C. 20231**

**SUBMISSION OF VERIFIED STATEMENT(S)
TO ESTABLISH SMALL ENTITY STATUS**

The attached statement is being submitted to establish small entity status in this

☒ application,

☐ patent,

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date 10/25/00

Signature

Maria Reichmanis

(type or print name of person certifying)

by the:

(check all applicable boxes below)

- a. ☒ independent inventor(s) 37 CFR 1.9(c) and 1.27(b)
- b. ☐ non-inventor supporting claim by another 37 CFR 1.9(c) and 1.27(b)
- c. ☐ small business concern 37 CFR 1.9(d) and 1.27(c)
- d. ☐ nonprofit organization 37 CFR 1.9(e) and 1.27(d)



SIGNATURE OF ATTORNEY

Reg. No. 37,220

Maria Reichmanis

(type or print name of attorney)

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Aiken, SC 29802